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Stimulation of the Prefrontal Cortex Reduces Intentions to Commit Aggression: A Randomized, Double-Blind, Placebo-Controlled, Stratified, Parallel-Group Trial

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PREFRONTAL STIMULATION REDUCES AGGRESSIVE INTENT 1

1 Stimulation of the Prefrontal Cortex Reduces Intentions to Commit Aggression: A Randomized,
2 Double-Blind, Placebo-Controlled, Stratified, Parallel-Group Trial.

3

4 Abbreviated Title: Prefrontal Stimulation Reduces Aggressive Intent

5

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23 Double-Blind, Placebo-Controlled, Stratified, Parallel-Group Trial.

24
25 Abstract

26 Although prefrontal brain impairments are one of the best-replicated brain imaging
27 findings in relation to aggression, little is known about the causal role of this brain region. This
28 study tests whether stimulating the dorsolateral prefrontal cortex (DLPFC) using transcranial
29 direct current stimulation (tDCS) reduces the likelihood of engaging in aggressive acts, and the
30 mechanism underlying this relationship. In a double-blind, stratified, placebo-controlled,
31 randomized trial, 81 human adults (36 males, 45 females) were randomly assigned to an active
32 (N = 39) or placebo (N = 42) condition, and followed up one day after the experiment session.
33 Intentions to commit aggressive acts and behavioral aggression were assessed using hypothetical
34 vignettes and a behavioral task, respectively. The secondary outcome was the perception of the
35 moral wrongfulness of the aggressive acts. Participants who received anodal stimulation reported
36 being less likely to commit physical and sexual assault ($p < .01$), and judged aggressive acts as
37 more morally wrongful ($p < .05$) compared to the sham controls. 31% of the total effect of tDCS
38 on intentions to commit aggression was accounted for by perceptions of greater moral
39 wrongfulness regarding the aggressive acts. Results provide experimental evidence that
40 increasing activity in the prefrontal cortex can reduce intentions to commit aggression and
41 enhance perceptions of moral judgment. Findings shed light on the biological underpinnings of
42 aggression and theoretically have the potential to inform future interventions for aggression and
43 violence.

44

45 **Trial Registration** ClinicalTrials.gov identifier: NCT02427672

46 **Significance Statement**

47 Aggressive behaviors pose significant public health risks. Understanding the etiology of
48 aggression is paramount to violence reduction. Investigations of the neural basis of aggression
49 have largely supported correlational, rather than causal interpretations, and the mediating
50 processes underlying the prefrontal-aggression relationship remain to be well-elucidated.
51 Through a double-blind, stratified, placebo-controlled, randomized trial, this study tested
52 whether upregulation of the prefrontal cortex reduces the likelihood of engaging in aggression.
53 Results provide experimental evidence that increasing prefrontal cortical activity can reduce
54 intent to commit aggressive acts. They also shed light on moral judgment as one mechanism that
55 may link prefrontal deficits to aggression and in theory, have the potential to inform future
56 approaches towards reducing aggression.

57

Introduction

Prefrontal brain impairment is one of the best-replicated risk factors for aggressive behavior. Evidence from neurological research shows that patients with damage to the frontal cortex exhibit more aggressive behavior (Anderson et al., 1999). In addition to head injury and lesion studies, the imaging and neuropsychological literature has documented structural and functional prefrontal deficits in antisocial individuals (Brower and Price, 2001; Yang and Raine, 2009). Findings on the role of the frontal cortex in modulating aggression and violence also extend to sexual offending (Chen et al., 2016).

Within the prefrontal cortex, a meta-analysis of 43 imaging studies found that impairments of the dorsolateral prefrontal cortex (DLPFC) are implicated in antisocial behavior, with a stronger effect for the left ($d = -.89$) than right ($d = -.56$) DLPFC (Yang and Raine, 2009). This may be due to the DLPFC's broad connection to functions related to aggression, including moral judgment (Mendez, 2009), that can in turn influence the risk of engaging in aggression, a deduction consistent with the neural moral model of antisocial behavior (Raine and Yang, 2006). More recent findings bolster the meta-analytic evidence. The involvement of the DLPFC in aggressive and antisocial behavior has since been documented in other neuroimaging studies (e.g., Dalwani et al., 2011; Fairchild et al., 2013; Alegria et al., 2016). Furthermore, while it has been suggested that DLPFC lesions are associated with apathy and diminished motivation (Levy and Dubois, 2005), a meta-analysis of 126 neuropsychological studies measuring executive functions in antisocial populations documented an effect size of $d = .44$ for antisocial behavior and $d = .41$ for physical aggression, implicating dorsolateral prefrontal dysfunction in aggression (Ogilvie et al., 2011). It is important to recognize however that the DLPFC is not the only

prefrontal area implicated in antisocial and aggressive behavior. Other sub-regions include the ventromedial prefrontal cortex (Hare et al., 2014) and anterior cingulate cortex (Kolling et al., 2016), areas which have widespread connections to the DLPFC. Taken together, studies suggest that there is multi-method evidence indicating the possible implication of the DLPFC on antisocial behavior, amongst other brain regions.

Despite these findings, little is known about the *causal* role of the prefrontal cortex on aggressive behavior. Conclusions from extant research on the neural foundations of aggression have largely been correlational. Three known studies have tested the effect of prefrontal cortex upregulation on aggression using the Taylor Aggression Paradigm and transcranial direct current stimulation (tDCS), a non-invasive technique that influences neural excitability by delivering a direct, continuous, low-intensity electrical current to cortical areas between anodal and cathodal electrodes (Brunoni et al., 2012). However, findings have been mixed. One study documented that upregulating the right DLPFC reduced proactive aggression in males (Dambacher et al., 2015a), while another revealed that increasing left DLPFC activity resulted in more aggressive behavior when participants were angry (Hortensius et al., 2011). In contrast, upregulation of the inferior frontal cortex did not have a significant effect on aggression (Dambacher et al., 2015b). Whether stimulation targeting the DLPFC can reduce intentions to engage in aggressive acts or behavioral aggression using other measures has not been examined and to our knowledge, no studies have experimentally investigated the intermediary mechanisms linking prefrontal deficits to aggression.

Given the association between prefrontal impairments and aggression, this study tests the

104 hypothesis that upregulating the prefrontal cortex using tDCS will lower intent to commit an
105 aggressive act. This study additionally extends the limited literature on tDCS and aggression by
106 employing a larger sample. As similarities have been found between the neural mechanisms
107 underlying moral cognition in normal individuals and brain mechanisms that are impaired in
108 antisocial populations (Raine and Yang, 2006), we also assess whether prefrontal upregulation
109 improves judgments of moral wrongfulness, which may in turn partly account for any effect of
110 prefrontal enhancement on reducing intent to commit aggressive acts.

111

112 **Methods**

113 **Trial Design**

114 The study consisted of a double-blind, placebo-controlled, stratified, randomized trial
115 comparing an anodal tDCS intervention with a sham control group. Baseline assessments and
116 one session of tDCS or sham intervention were conducted during the experimental session, while
117 outcome measures were assessed the following day. Tasks and questionnaires were administered
118 in a fixed order. The study was approved by the Institutional Review Board of the University of
119 Pennsylvania and the trial protocol was registered at ClinicalTrials.gov (NCT02427672).

120

121 **Participants**

122 Eighty-six healthy adults (≥ 18 years of age) were recruited in Philadelphia between
123 April 2015 and April 2016. The experiment took place during the course of one visit to the study
124 site. In addition to assessments conducted at baseline, participants were followed up one day
125 after the experimental session using a web-based questionnaire. Exclusion criteria included
126 contraindications to brain stimulation, including metallic implants near the electrode sites,

127 unstable medical conditions, neurological, cardiovascular, or psychiatric illness, participation in
128 another non-invasive brain stimulation study on the same day, history of adverse reactions to
129 tDCS, and lack of email access. Written informed consent was obtained from all participants.

130

131 **tDCS Intervention**

132 tDCS was administered by trained study personnel using a battery-driven, constant-
133 current stimulator (TCT Research). Two anodal electrodes were placed over the DLPFC
134 bilaterally (F3 and F4) according to the International 10-20 EEG system. A constant current of
135 2mA (1mA to each DLPFC site) was applied for 20 minutes through saline-soaked sponge
136 electrodes (5x5cm). A single extracephalic cathodal electrode (5x7cm) was placed at the
137 posterior base of the neck in order to minimize unintentional effects of inhibitory stimulation on
138 brain activity.

139

140 Following standard tDCS protocol, stimulation commenced after a 30-second ramp-up
141 period. The current was ramped down over the last 2 seconds. The tasks performed during tDCS
142 are understood to influence the behavioral after-effects of stimulation (Gill et al., 2015). Thus,
143 during the stimulation session, all participants performed the Psychology Experiment Building
144 Language (Mueller and Piper, 2014) version of two cognitive tasks that are known to engage the
145 DLPFC, the Psychomotor Vigilance Task (Dinges and Powell, 1985; Cui et al., 2015), followed
146 by the Iowa Gambling Task (Bechara et al., 1994; Ernst et al., 2002). Although participants in
147 both intervention arms received the same electrode placement and ramp-up/down times,
148 stimulation for the sham control group was discontinued after 30 seconds. This has proven to be

149 effective for blinding as participants habituate to the sensation of stimulation within seconds of
150 current initiation (Gandiga et al., 2006).

151

152 **Intentions to commit aggression.** Behavioral intentions to commit aggressive acts were
153 assessed using two hypothetical vignettes, which have been studied in samples with similar
154 characteristics to ours (Hannon et al., 2000; Mazerolle et al., 2003). Brief scenarios describing
155 two types of aggression, physical assault and sexual assault, were presented to participants who
156 responded to the anticipated likelihood that they would commit the aggressive act. Responses
157 were measured on a scale ranging from zero (no chance at all) to ten (100 percent chance).

158

159 **Perceptions of moral wrongfulness.** To assess moral perceptions of the aggressive acts,
160 participants were asked to rate how morally wrong it would be to act as the protagonist in the
161 scenario on a scale from 0 (not at all) to 10 (very). Aggregate measures of aggressive intent and
162 perception of moral wrongfulness were created by combining responses from the physical and
163 sexual assault scenarios (Armstrong and Boutwell, 2012).

164

165 **Aggression.** The voodoo doll task is a reliable and validated behavioral analog measure
166 of aggression (DeWall et al., 2013). In this task, participants were shown a computer-based
167 image of a doll that represented a partner or a close friend. They were told that they were given
168 the opportunity to release their negative energy to that individual by inserting as many pins (0-
169 51) in the doll as they wished. Instructions did not use the word “voodoo”. Stabbing the doll with
170 more pins indicated higher levels of aggression.

171

172 **Randomization and Stratification**

173 At the initial visit, participants were randomized into an active stimulation or
174 sham/placebo condition using a computerized urn randomization procedure (Stout et al., 1994).
175 The stratification factors were age (18 years/19 years/20 years and above), sex (male/female),
176 and ethnicity (Caucasian/non-Caucasian). This stratification was used to balance groups on key
177 demographic variables.

178

179 **Blinding**

180 Participants and experimenters were blind to the tDCS condition assignment. The trial
181 adhered to established procedures to maintain separation between staff that conducted the
182 stimulation and staff that engaged with the participant. In each experimental session, only one
183 experimenter who set up the tDCS procedure had knowledge of the participant's allocation. To
184 further ensure blinding, all participants were kept blind to the objective of the study and outcome
185 measures were not taken in the presence of research staff as they could lead to biased results.

186

187 In the 3 cases where double blinding was compromised due to the inability of having
188 more than one experimenter at a session, the cases were excluded from analyses. To assess
189 adherence to blinding procedures, James' (James et al., 1996) and Bangs' (Bang et al., 2004)
190 blinding indices were calculated using the participants' and blinded experimenters' guesses
191 about group assignment at the end of the experimental session.

192

193 **Statistical Analyses**

194 One-way ANCOVA was used to test group differences in intentions to commit
195 aggression and the behavioral measure of aggression. Baseline measures were examined as
196 possible covariates: variety of crime throughout the lifetime, aggression, GPA, trait anxiety,
197 social adversity, psychopathy, the lack of premeditation and sensation-seeking dimensions of
198 impulsivity, and self-control.

199
200 In addition to a Self-Report Crime Questionnaire that asked participants to indicate the
201 number of times they had committed 36 criminal and delinquent acts ranging from white-collar
202 and blue-collar offenses (e.g. fraud and shoplifting) to non-criminal, deceptive behaviors (e.g.,
203 cheating on an exam), participants' baseline levels of aggression were assessed using the
204 Reactive-Proactive Aggression Questionnaire (Raine et al., 2006). Trait anxiety was assessed
205 using the 20-item Spielberger State-Trait Anxiety Inventory (Spielberger, 1983). A social
206 adversity index was obtained based on responses to 14 items obtained from demographic
207 questionnaires. Items included parent unemployment, mother's low education, father's low
208 education, parental separation or divorce, placement in a foster home, hospital, or other
209 institution during childhood, having 5 or more siblings, born to a teenage mother, a ratio of
210 people per room (including bedrooms, living room, dining room, and kitchen) of 1.0 and above,
211 brought up in public housing, parents' use of welfare or food stamps from the government, father
212 or mother had been arrested, father or mother has had problems with alcohol or drugs, father or
213 mother has had physical illness, such as heart or lung problems, father or mother has had mental
214 illness, such as alcoholism, major depression, schizophrenia, or anxiety. To assess psychopathic
215 traits, the short form of the Self-Report of Psychopathy-III (SRP-SF) questionnaire, comprising
216 29 items, was administered (Paulhus et al., 2009). Additionally, scores were obtained from the

217 lack of premeditation and sensation-seeking subscales of the short-form version of the UPPS-P
218 Impulsivity Scale (SUPPS-P) (Lynam, 2013), and self-control was assessed using the 13-item
219 Brief Self-Control Scale (Tangney et al., 2004).

220

221 Following recommendations, stratification variables and baseline measures that were
222 associated with the outcomes were adjusted for, while variables with baseline imbalances were
223 not (Committee for Proprietary Medicinal Products, 2004; Kahan et al., 2014). Effect sizes were
224 calculated using partial eta squared.

225

226 To provide information on a mechanism of action accounting for any effect of tDCS on
227 aggressive intent, change in perceptions of moral wrongfulness was examined using ANCOVA.
228 We tested whether enhanced moral judgment mediated group differences in intent to commit
229 aggressive acts through a bootstrapping approach using the PROCESS macro on SPSS (Hayes,
230 2013). 10,000 bootstrapped samples were drawn from the original data. The indirect effect of
231 tDCS on intent to commit aggression was calculated as the product of the regression coefficients
232 for the relationship between tDCS and moral judgment and the association between moral
233 judgment and aggressive intent. The percent mediated, P_M , is expressed as the ratio of the
234 indirect to total effect of treatment group on intention to commit aggression (Ditlevsen et al.,
235 2005; Hayes, 2013). Hypothesis tests were two-tailed. Blinding indices were obtained using
236 STATA version 14.0 (Stata Corp, 2015). All other statistical analyses were conducted using
237 SPSS version 24.0 (IBM Corp, 2016).

238

239

Results

240 **Participant Flow and Recruitment**

241 Data were analyzed on a total sample of 81 (see Figure 1 for details or reasons for loss).
 242 No participants were lost to follow-up. There was no evidence of selection bias as no significant
 243 differences were observed between participants who were included in the analyses and those
 244 who were not ($p > .05$; Table 1).

246 **Demographics and Adherence to Protocol**

247 Baseline distributions of the hypothesized covariates were generally well balanced
 248 between the treatment groups. With the exception of social adversity, demographic variables and
 249 baseline characteristics did not differ across groups (Table 2). As the James' blinding indices
 250 were greater than .5 and Bang's blinding indices did not approach 1 or -1, participants were
 251 considered to have been blinded successfully on average (Table 3) (James et al., 1996; Bang et
 252 al., 2004).

254 **Aggression Outcomes**

255 Prognostic covariates were determined based on bivariate associations between the
 256 hypothesized covariates and outcome measures (Table 4). A one-way ANCOVA controlling for
 257 self-report crime and baseline aggression levels revealed a main effect of treatment group on
 258 aggressive intent, with the active tDCS group reporting a significantly lower likelihood of
 259 engaging in aggression compared to the sham control group, $F(1, 70) = 8.40, p < .01, \eta_p^2 = .11$
 260 (Figure 2A). There were no significant interaction effects between treatment group and sex, $F(1,$
 261 $70) = .57, p = .45, \eta_p^2 = .01$, and between treatment group and ethnicity, $F(1, 70) = .01, p = .92,$
 262 $\eta_p^2 < .001$. Further analyses revealed that intent to commit both physical assault, $F(1, 70) =$

263 5.61, $p = .02$, $\eta_p^2 = .07$ and sexual assault, $F(1, 70) = 5.64$, $p = .02$, $\eta_p^2 = .08$ were lower in the
 264 active tDCS group (Figure 2A). However, there was no significant group difference in
 265 behavioral aggression assessed using the voodoo doll task, $F(1, 71) = 1.31$, $p = .26$, $\eta_p^2 = .02$
 266 (Figure 2B). Additional sensitivity analysis conducted on log-transformed and square root-
 267 transformed data for the aggression measures yielded substantively similar findings (Figure 2-1).

269 Mechanisms Accounting for the Reduction in Intent to Commit Aggression

270 ANCOVA also revealed that compared to controls, the active tDCS group perceived
 271 aggressive acts as more morally wrong, $F(1, 71) = 4.64$, $p = .04$, $\eta_p^2 = .06$ (Figure 2C). In
 272 particular, the main effect of treatment group was significant for perceptions of moral
 273 wrongfulness regarding sexual assault, $F(1, 71) = 6.81$, $p = .01$, $\eta_p^2 = .09$, but not physical
 274 assault, $F(1, 71) = .96$, $p = .33$, $\eta_p^2 = .01$. Higher ratings of moral wrongfulness partly mediated
 275 the reduction in intention to commit aggressive acts (indirect effect: $b = -.51$, 95% CI -1.14 to -
 276 .10, $p < .05$). After controlling for perceptions of moral wrongfulness, treatment group was not a
 277 significant predictor of aggressive intent (Figure 3). 31% of the total effect of treatment group on
 278 overall aggressive intent was accounted for by moral perception.

280 Further analysis revealed that moral wrongfulness partly mediated the reduction in
 281 likelihood of committing sexual assault (indirect effect: $b = -.34$, 95% CI -1.11 to -.03, $p < .05$),
 282 but not physical assault (indirect effect: $b = -.32$, 95% CI -.89 to .10, $p > .05$). Perceptions of
 283 moral wrongfulness accounted for approximately half ($P_M = .56$) of the total effect of treatment
 284 group on intent to commit sexual assault. For completeness, sensitivity analyses that included the

285 demographic variables and social adversity as covariates did not substantively change the
286 mediation results (Figure 3-1).

287

288 **Adverse Events**

289 tDCS was associated with minimal side effects. No major adverse events were reported
290 over the duration of the study. According to Fertonani et al.'s (2010) scale and consistent with
291 other tDCS studies (Brunoni et al., 2012), reported side effects included itchiness (85.2%),
292 lightheadedness (40.7%), pain (46.9%), burning (49.4%), warmth (51.2%), pinching (45.7%),
293 iron taste (7.4%), and fatigue of light to moderate intensity (35.0%). No participants withdrew
294 due to these minor events.

295

296 **Discussion**

297 This study tested a new approach to reducing aggressive and violent behavior.
298 Individuals who underwent bilateral anodal stimulation of the DLPFC using tDCS reported a
299 lower likelihood of committing an aggressive physical and sexual assault one day after
300 stimulation compared to a sham control group. The treatment-aggressive intent relationship was
301 partly accounted for by enhanced perception that the aggressive acts were more morally
302 wrongful, resulting from prefrontal upregulation. Findings help to strengthen conclusions from
303 neurological, neuroimaging, and neuropsychological research (Damasio et al., 1994; Damasio,
304 2000; Yang and Raine, 2009; Liljegren et al., 2015; Rogers and De Brito, 2016) by documenting
305 experimentally the role of the prefrontal cortex on the likelihood of engaging in aggression and
306 the perception of such acts as morally wrong.

307

308 Beyond examining experimentally the role of the prefrontal cortex on a behavioral
309 symptom, the finding that moral judgment partly mediates the effect of tDCS on the likelihood of
310 sexual assault contributes to our mechanistic understanding of the etiology of sexual violence. It
311 also provides partial support for the neuro-moral theory of violent behavior that violence is due
312 in part to impairments in brain regions subserving moral cognition and emotion (Raine and
313 Yang, 2006). The null mediation effect observed for physical assault suggests that moral
314 judgment plays a greater role on intentions to commit sexual assault, which is consistent with
315 empirical evidence that sexual offenses such as rape are rated as more morally wrongful than
316 physical violence (Akman et al., 1968; Hsu, 1973). This indicates that moral judgment is likely
317 only one of several processes underlying the prefrontal-aggression relationship.

318
319 The difference in our results for behavioral intent and the behavioral measure of
320 aggression warrant attention. Although participants in the tDCS group exhibited significantly
321 lower levels of aggressive intent after the experimental session, they exhibited a non-significant
322 increase ($d = .26$) in behavioral aggression. These null findings converge with the mixed findings
323 on tDCS and behavioral aggression in the literature to date (e.g., Hortensius et al., 2011).
324 Furthermore, a recent case study of two female patients receiving anodal tDCS over the left
325 DLPFC and a cathode over the right DLPFC reported anger attacks post-stimulation, although
326 notably, in contrast to the present study, these subjects were diagnosed with major depressive
327 disorder (Hung and Huang, 2017).

328
329 Given empirical evidence that changes in intentions precede behavioral change (Webb
330 and Sheeran, 2006), our results indicating lower intent to engage in aggressive acts following

331 anodal prefrontal stimulation suggest that tDCS may be an *initial* step towards the reduction of
332 aggression. This implication must however be tempered with the mixed findings in the extant
333 literature. While the treatment and control groups did not differ on the behavioral measure of
334 aggression, this finding is consistent with the concept that a single session of tDCS may have a
335 limited effect on behavioral change. The longer-lasting therapeutic effects of tDCS are suggested
336 to be associated with repeated, rather than single sessions of stimulation (Nitsche et al., 2008).
337 Therefore, beyond intent to engage in aggression, future studies need to evaluate whether
338 behavioral changes may be observed with more stimulation sessions.

339

340 Several caveats are in order. First, the trial findings are limited to an ostensibly healthy
341 population. As the first study to test the effect of prefrontal cortical upregulation on aggressive
342 intentions, the generalizability of the findings to other samples remains to be seen. A second
343 limitation is that moral judgment and aggressive intent were measured concurrently. Thus, we
344 were unable to confirm the temporal order of the mediator and outcome variable. However,
345 empirical evidence that moral judgments shape behavior (Reynolds and Ceranic, 2007) provide
346 support that the mediation model presented reflects the expected temporal effects. Third, this
347 study measured aggressive inclinations one day after the intervention. Further research is needed
348 to determine if tDCS can produce longer-term reductions in aggressive intent, as well as any
349 reduction in aggressive behavior. Fourth, we were not able in our design to include stimulation
350 of a “control” brain region to help document specificity of findings to the DLPFC. Although it
351 has been documented that the right DLPFC is involved in moral judgment (Tassy et al., 2012),
352 this study did not consider any laterality effects. Fifth, although the findings demonstrate that
353 anodal tDCS resulting in a current flow through the DLPFC influences intentions to commit

354 aggression, they do not negate the involvement of other prefrontal areas such as the ventromedial
355 and anterior prefrontal cortex, or non-prefrontal areas including the temporal cortex. Future
356 studies using complimentary non-invasive neurostimulation approaches such as transcranial
357 magnetic stimulation and high definition-tDCS may elucidate the anatomical specificity of this
358 effect and the complexity of the functional neuroanatomy of violent behavior.

359
360 There has been increasing discussion of biological interventions on antisocial and
361 aggressive behavior in both children and adults (Gesch et al., 2002; Raine et al., 2015; Hübner
362 and White, 2016). Our initial findings that are limited to intentions to commit aggression and
363 moral judgment require extensive replication. Nevertheless, among other etiological
364 mechanisms, the role of biological factors on the development of antisocial behavior, including
365 aggression, has been increasingly acknowledged (Raine, 2002; Glenn and Raine, 2014; Latvala
366 et al., 2015). It has been suggested that treatment programs will be improved by considering
367 biological mechanisms that potentially regulate aggression (Beauchaine et al., 2008). Thus, it can
368 be argued that further investigation of basic science trials on tDCS may potentially offer a
369 promising new biological approach for reducing aggression, which is a major public health
370 problem and a feature of a variety of mental disorders, including antisocial personality disorder,
371 intermittent explosive disorder, conduct disorder, and borderline personality disorder (American
372 Psychiatric Association, 2013).

373

374 **Conclusion**

375 Understanding the etiology of aggression and the development of new interventions are
376 paramount to a public health approach to violence reduction (Butchart et al., 2004; Slutkin,

2017). This first known application of prefrontal tDCS to intentions to commit aggression takes a modest step towards advancing knowledge about the neural mechanisms that regulate aggression. Findings provide experimental evidence for the role of the prefrontal cortex on both physical and sexual assault, and suggest how the brain may, in theory, be amenable to change using a non-invasive tool with transient and relatively minor adverse effects (Poreisz et al., 2007; Fertonani et al., 2015). Nevertheless, a stronger evidence base which includes more consistent findings, documentation of long-term beneficial effects, and a comprehensive effort to rule out potentially aversive side effects is required before this technique can be considered in practice to reduce aggression perpetration.

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546

547 Table 1. Comparison of participants who were included and excluded in statistical analyses^a

Characteristic	Included (n = 81)	Excluded (n = 3)	Statistic	p value
<i>Demographic variables</i>				
Sex				
Female	45	1	Chi ² = .58	.45
Male	36	2		
Age, y	20.21 (3.31)	20.00 (1.73)	t = .11	.91
Race				
Caucasian	36	1	Chi ² = .15	.70
Non-Caucasian	45	2		
<i>Baseline measures</i>				
GPA ^b	3.59 (.77)	3.66 (.29)	t = -.17	.87
Social adversity	1.10 (1.48)	1.00 (1.00)	t = 2.32	
Variety of offending	16.85 (6.21)	15.00 (5.00)	t = .51	.61
Baseline aggression	9.37 (4.72)	10.33 (2.08)	t = -.35	.73
Psychopathy	23.17 (12.20)	23.00 (13.75)	t = .02	.98
Lack of premeditation	1.61 (.49)	1.92 (.14)	t = -1.08	.28
Sensation-seeking	2.89 (.64)	2.58 (.52)	t = .80	.42
Anxiety	38.60 (8.86)	45.67 (13.05)	t = -1.34	.19
Self-control	36.26 (6.95)	37.00 (1.73)	t = -.18	.86
<i>Outcome variables</i>				
Aggressive intent	2.26 (3.56)	1.33 (.58)	t = .45	.66
Aggression (voodoo doll task)	3.91 (10.29)	3.33 (5.77)	t = .10	.92
Moral wrongfulness	15.20 (3.48)	16.33 (3.51)	t = -.56	.58

548 ^aData for continuous variables are presented as mean (SD), with comparisons conducted using
549 independent samples *t*-tests or chi-square tests as appropriate.550 ^bFor 8 individuals missing data on GPA scores, mean imputation was conducted. Missing
551 values were replaced with the mean of the observed data as suggested in Kahan et al. (2014).
552

553 Table 2. Baseline characteristics by treatment arm^a

Characteristic	tDCS group (n = 39)	Sham group (n = 42)	Statistic ^b	p value
Sex				
Female	24	21	Chi ² = 1.09	.30
Male	15	21		
Age, y	20.26 (4.13)	20.17 (2.36)	t = -.12	.90
Race				
Caucasian	17	19	Chi ² = .02	.88
Non-Caucasian	22	23		
GPA ^c	3.55 (.27)	3.47 (.33)	t = -1.18	.24
Social adversity	.72 (1.15)	1.45 (1.67)	t = 2.32	.02
Variety of offending	17.36 (6.25)	16.38 (6.22)	t = -.71	.48
Aggression	9.92 (4.97)	8.86 (4.48)	t = -1.02	.31
Psychopathy	23.33 (11.85)	23.02 (12.65)	t = -.11	.91
Lack of premeditation	1.59 (.49)	1.63 (.49)	t = .38	.71
Sensation-seeking	2.89 (.65)	2.88 (.65)	t = -.07	.94
Anxiety	38.79 (8.53)	38.43 (9.25)	t = -.19	.85
Self-control	37.05 (6.69)	35.52 (7.18)	t = -.99	.33

554 ^aData for continuous variables are presented as mean (SD).555 ^bDifferences in baseline scores were compared using two-tailed independent *t*-tests and chi-
556 square tests.557 ^cFor 8 individuals missing data on GPA scores, mean imputation was conducted. Missing values
558 were replaced with the mean of the observed data as suggested in Kahan et al. (2014).

559

Table 3. Participant and experimenter conjectures about group assignment and blinding indices

Intervention	Participant's guess, n (%)				James' BI	Bang's BI	95% CI ^b
	tDCS	Sham	Do not know	Total			
tDCS	26 (32.1)	3 (3.7)	10 (12.3)	39 (48.1)		.59	.42, .76
Sham	18 (22.2)	9 (11.1)	15 (18.5)	42 (51.9)		-.21	-.41, -.02
Total	44 (54.3)	12 (14.8)	25 (30.9)	81 (100)	.57		.49, .65

Intervention	Experimenter's guess, n (%)				James' BI	Bang's BI	95% CI
	tDCS	Sham	Do not know	Total			
tDCS	12 (15.4)	0 (0)	25 (32.1)	37 (47.4)		.32	.20, .45
Sham	2 (2.6)	2 (2.6)	37 (47.4)	41 (52.6)		0	-.08, .08
Total	14 (17.9)	2 (2.6)	62 (79.5)	78 (100) ^a	.84		.76, .91

^aDue to missing data, 3 cases were omitted from calculations of the blinding indices.

^bCI = confidence interval

Table 4. Relationships between outcome variables (aggressive intent, moral wrongfulness, behavioral aggression) and baseline characteristics of the sample, assessed using *t*-tests for dichotomous demographic variables (upper section) and Pearson correlations for continuous baseline variables (lower section)

Characteristic	Aggressive intent	Moral wrongfulness	Behavioral aggression
Sex ^a	-2.10*	4.21***	.11
Race ^b	-.08	.06	-.52
Age	-.07	-.01	-.02
GPA	.13	.02	.15
Social adversity	-.08	.09	-.05
Variety of offending	.36**	-.21	.001
Aggression	.42***	-.07	.08
Psychopathy	.17	-.30**	.20
Lack of premeditation	-.07	.11	.28*
Sensation-seeking	.17	-.06	.19
Anxiety	-.02	-.07	.22
Self-control	.01	-.07	.22

^aSex was coded as 0 for female and 1 for male.

^bRace was coded as 0 for Caucasian and 1 for non-Caucasian.

* $p < .05$; ** $p < .01$; *** $p < .001$.

PREFRONTAL STIMULATION REDUCES AGGRESSIVE INTENT 30

- 573 Figure 1. CONSORT flowchart of the screening and enrollment of study participants who were
574 randomly assigned to anodal prefrontal stimulation or a sham control group
575
- 576 Figure 2. Group means for A) aggressive intent, B) behavioral aggression, and C) perceptions of
577 moral wrongfulness at follow-up
578
- 579 Figure 3. Bootstrapped mediation model documenting that perceptions of greater moral
580 wrongfulness mediated the effect of anodal tDCS on reducing intentions to commit aggression
581
- 582 Figure 2-1. Group means and SDs for log-transformed and square-root transformed antisocial
583 behavior outcomes at follow-up
584
- 585 Figure 3-1. Total, direct, and indirect effects of tDCS on aggressive intent, controlling for
586 demographic variables and social adversity
587
588

Figure 1. CONSORT flowchart of the screening and enrollment of study participants who were randomly assigned to anodal prefrontal stimulation or a sham control group

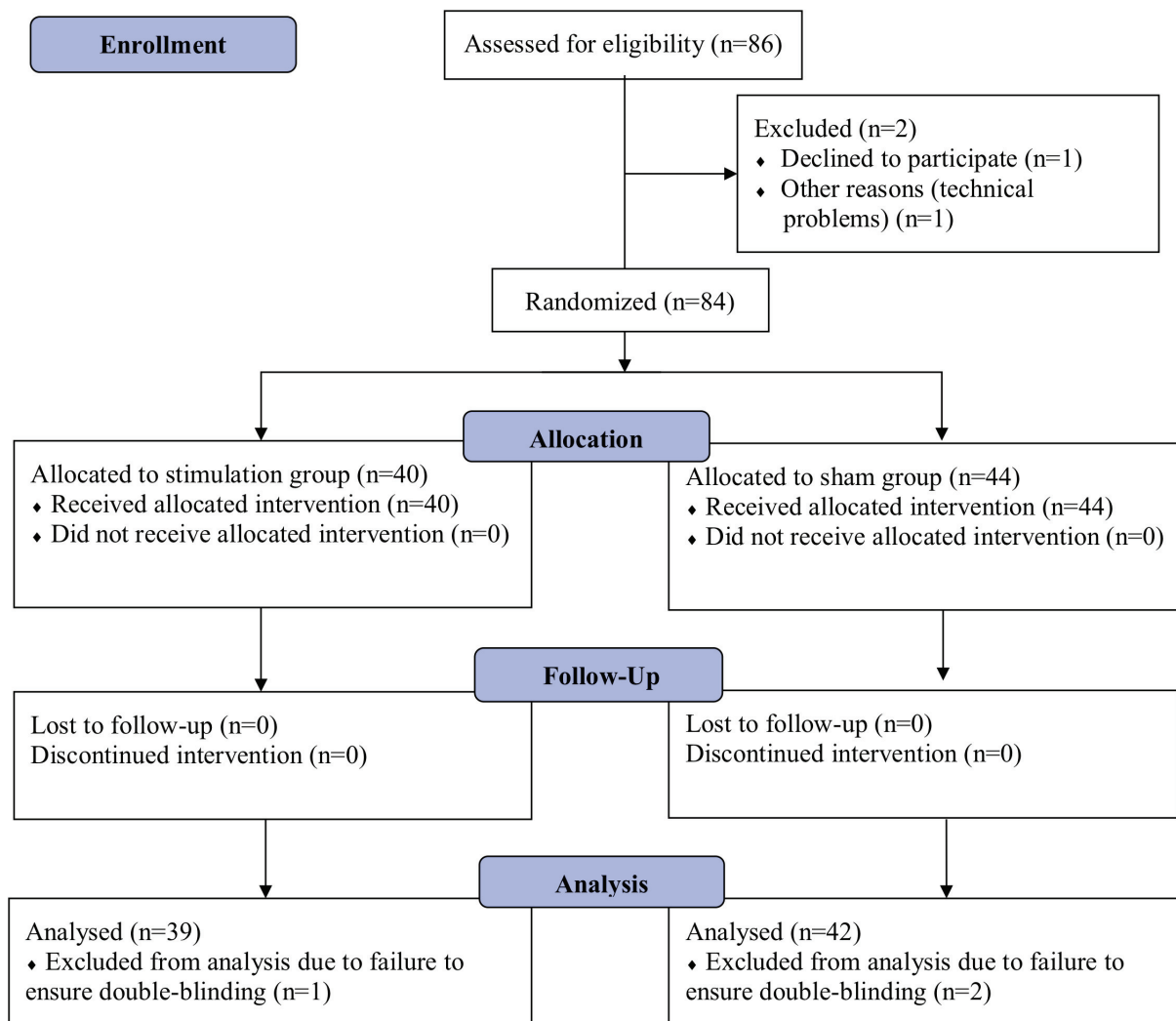
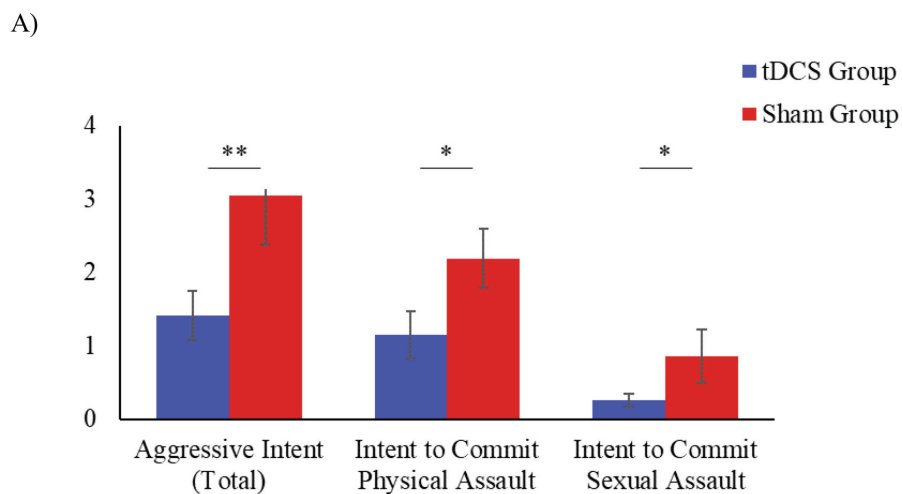
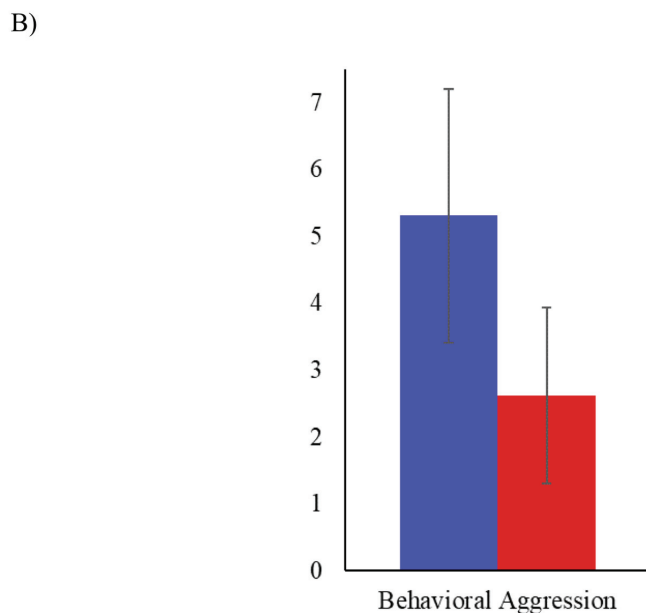


Figure 2. Group means for A) aggressive intent, B) behavioral aggression, and C) perceptions of moral wrongfulness at follow-up

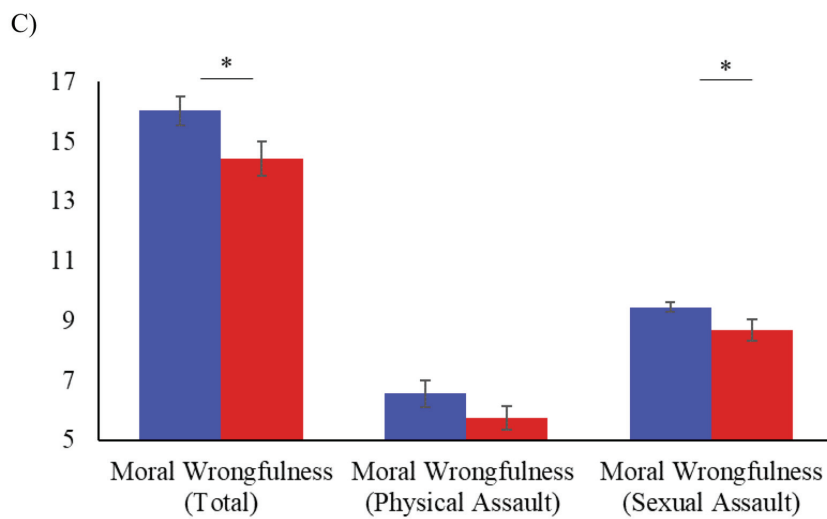


Note. Group effects were determined from ANCOVA, controlling for stratification variables (sex, age, ethnicity), self-report offending, and baseline aggression.

* $p < .05$; ** $p < .01$



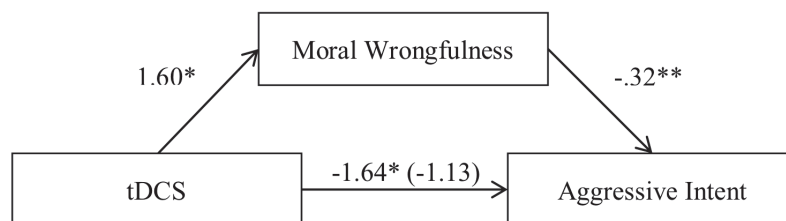
Note. Non-significant group effects were determined from ANCOVA, controlling for stratification variables (sex, age, ethnicity) and lack of premeditation scores.



Note. Group effects were determined from ANCOVA, controlling for stratification variables (sex, age, ethnicity) and baseline psychopathy levels.

* $p < .05$; ** $p < .01$

Figure 3. Bootstrapped mediation model documenting that perceptions of greater moral wrongfulness mediated the effect of anodal tDCS on reducing intentions to commit aggression



Note. The path coefficients are unstandardized. The value in parentheses indicates the direct effect of tDCS on intention to engage in aggression.

* $p < .05$; ** $p < .01$